

Date: December 29, 2025

To  
The Manager, Listing Department  
National Stock Exchange of India Ltd. ('NSE')  
Add: Plot no. C/1 G Block, Bandra-Kurla Complex,  
Bandra (East), Mumbai- 400051

Kind Attention: Mr. Rachna Jha, Manager – Listing Compliance

**Subject: Waiver Application for Fine imposed on Sumit Woods Limited as per SEBI circular no. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 for Non-Compliance of the SEBI (LODR) Regulations, 2015**

Ref: NSE letters - Ref No: NSE/LIST-SOP/FINES/1339 dated December 16, 2025

We, Sumit Woods Limited ("the Company"), CIN: L36101MH1997PLC152192, having its Registered Office at B-Wing, Office No-1101, Opp. Reliance Office, Express Zone, W.E. Highway, Malad-East, Mumbai City, Mumbai, Maharashtra – 400097, submit this Waiver Application in reference to your letter cited above.

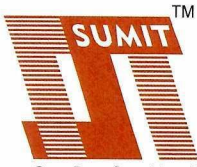
We refer to your letter Ref. No. NSE/LIST-SOP/FINES/1339 dated December 16, 2025, received by the Company under the subject "Notice for non-compliance with SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015" wherein a fine of Rs. 1,41,600/- (including GST) has been imposed for delayed submission of Consolidated & Standalone Limited Review Report for the period ended September 30, 2025, under Regulation 33 of SEBI (LODR) Regulations, 2015.

The Company confirms that it has complied with all the conditions prescribed under NSE Circular Ref. No. NSE/CML/2025/35 dated August 26, 2025, regarding processing of waiver applications, as detailed below:

S. No.	Particulars	Confirmation	Remarks
	Detailed submission indicating reasons for waiver, considering the extant Policy for Exemption of Fines, and shall indicate whether it intends to seek personal hearing before the concerned Committee.	Yes	All required details are provided. The Company opts for a personal hearing before the concerned Committee, if required.
	The Company may further note that compliance is a prerequisite for applying for waiver. Thus, waiver application of the non-	Yes	The Company complied with the non-compliance on December 10, 2025.

**Sumit Woods Limited.**

B - 1101, Express Zone, Diagonally Opp. to Oberoi Mall, W.E. Highway, Malad (East), Mumbai - 400 097.  
Tel.: 022- 2874 9966 / 77 ● Fax : 022-2874 3377 ● Email : contact@sumitwoods.com ● www.sumitwoods.com  
CIN No. : L36101MH1997PLC152192



G R O U P  
Creating Value. Building Trust.  
BUILDERS & DEVELOPERS

complied Companies will not be processed without achieving the compliance.		
In case the Company is non-complaint under multiple regulations, the Company is advised to file a single application mentioning the details of all the respective regulations and quarters for which the Company intends to apply for waiver, in order to avoid the duplication of the entries.	Yes	The Company was non-compliant only under Regulation 33 of SEBI (LODR) Regulations, 2015 read with SEBI Master Circular SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024.
Non-refundable Processing fees for an amount of Rs.10,000 plus 18% GST to be paid to the designated Exchange, (as segregated between the Exchanges as per the policy for waiver of fines) only if the fine amount is more than Rs. 5,000/-.	Yes	Waiver Application fee of Rs. 10,000/- plus 18% GST paid to NSE on <b>26<sup>th</sup> December, 2025</b> vide Reference No. <b>2218435606</b> .

1. In relation to the above, we would like to inform your good office that:

- a) Pursuant to Regulation 33 of SEBI (LODR) Regulations, 2015, the Limited Review Report (LRR) received from the Statutory Auditor was duly noted and taken on record by the Audit Committee and the Board of Directors at their respective meetings held on November 14, 2025. The Statutory Auditor had also generated the UDIN on November 14, 2025, evidencing that the Company had duly received the LRR and complied with Regulation 33 of the SEBI (LODR) Regulations, 2015. The only lapse occurred due to human error, wherein the concerned official inadvertently missed attaching the LRR while submitting the financial results to the Stock Exchange. We have attached the signed LRR for your kind perusal as an Annexure-I.
- b) The Company had correctly selected the Limited Review Report in the Integrated Filings (Finance) XBRL module.
- c) The LRR was duly noted in the Minutes of the Audit Committee Meeting and Board Meeting held on November 14, 2025, and Certified True Copies of the same were attached for kind perusal (Annexure II). However, due to the creation of two internal folders (Drafts and Signed Copies) and the strict financial disclosure timelines at the Board Meeting, the signed LRR was inadvertently not attached to the initial submission.
- d) To comply with Regulation 33 of SEBI (LODR) Regulations, 2015 read with SEBI Master Circular SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024, the Company had submitted its Standalone and Consolidated Financial Results for the Quarter and Half Year ended September 30, 2025 to the Stock Exchanges within the prescribed timelines (Acknowledgement attached as Annexure-III).
- e) The Company also filed the aforesaid Financial Results in XBRL mode within Prescribed timeline from the conclusion of the Board Meeting held on November 14, 2025 (Acknowledgement attached as Annexure-IV).

**Sumit Woods Limited.**

B - 1101, Express Zone, Diagonally Opp. to Oberoi Mall, W.E.Highway, Malad (East), Mumbai - 400 097.  
Tel.: 022- 2874 9966 / 77 ● Fax : 022-2874 3377 ● Email : contact@sumitwoods.com ● www.sumitwoods.com  
CIN No. : L36101MH1997PLC152192

2. On December 09, 2025, the Company received an email from NSE highlighting the non-compliance under Regulation 33 of SEBI (LODR) Regulations, 2015. Immediately upon receipt of the said communication, the Company submitted the revised Financial Results along with the Limited Review Report on the same date, thereby rectifying the lapse.
3. In view of the above, the Company confirms that it is fully compliant with Regulation 33 of SEBI (LODR) Regulations, 2015 with respect to submission of Standalone and Consolidated Financial Results for the Quarter and Half Year ended September 30, 2025, as on date. Accordingly, the Company fulfills the pre-requisites for filing the Waiver Application as stipulated under NSE Circular Ref. No. NSE/CML/2025/35 dated August 26, 2025.
4. The lapse was purely inadvertent and procedural in nature, arising out of human error, and not intentional. The Company assures that robust internal checks have been strengthened to ensure that such an error does not recur in future.
5. The Company has consistently adhered to the highest standards of corporate governance, emphasizing transparency, accountability, integrity, and timely disclosures, and has otherwise complied with all applicable provisions of SEBI (LODR) Regulations, 2015.

**Prayer:**

In light of the facts and circumstances stated above, the Company respectfully prays for the following reliefs:

- Waiver of the fine of Rs. 1,41,600/- (including GST) imposed for delayed submission of the Standalone and Consolidated Limited Review Report for the period ended September 30, 2025; and
- No penal action against the Company or its Promoters / Directors / KMPs for the said non-compliance.

We trust that the above submissions adequately address your concerns. We request your kind consideration of this Waiver Application and would be pleased to appear for a personal hearing, if required.

Thanking you,

For **Sumit Woods Limited**



**Rekha Bagda**  
Company Secretary & Compliance Officer

